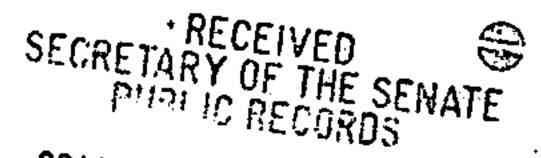
## COVER SHEET FOR AMENDMENT OF POST-TRAVEL SUBMISSION

Date/Time Stamp



2019 OCT 16 PM 4: 42

Instructions: Use this form as a cover sheet for any paperwork you may need to submit to the Office of Public Records in order to make your Privately Sponsored Post-Travel Submission complete in accordance with Rule 35. Only complete this form if you need to submit an amendment to a post-travel filing you have already submitted.

SUBMIT DIRECTLY TO THE OFFICE OF PUBLIC RECORDS IN 232 HART BUILDING

Joe Britton Name of Traveler:	
Office of Senator Martin Heinrich Employing Office/Committee:	· · · · · · · · · · · · · · · · · · ·
Travel Expenses Paid by (List all sources): The Pew Charitable Trusts	· · · · · · · · · · · · · · · · · · ·
September 13, 2019 - September 15, 2019  Travel Date(s):	
Description/Title of Attached Forms: Post Sponsor Travel Certification	n Form including the
attachment and the final trip itinerary	
Purpose of Amendment (describe the reason for amending original submission):	The purpose of this
ammendment is to provide all the necessary materials to con	
submission.	
• · · · · · · · · · · · · · · · · · · ·	
	<del></del>

(Date)

(Signature of Traveler)

#### PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should NOT submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee MUST also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

Spc	nsor(s) of the trip (please list all sponsors): The Pew Charitable Trusts
Des	Senate Chiefs of Staff Management and Leadership Conference
— Dat	es of travel: September 13-15, 2019
Pla	ce of travel:
Nar	ne and title of Senate invitees: Chiefs of Staff (see attached list)
l ce	rtify that the trip fits one of the following categories:  (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal and do not retain or employ registered lobbyists or agents of a foreign principal and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip.  — OR —
X	(B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (see question 9).
X	I certify that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.  - AND -
X	I certify that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
I ce ⊠	rtify that:  The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for de minimis lobbyist involvement.  - AND -
X	The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (see question 9).

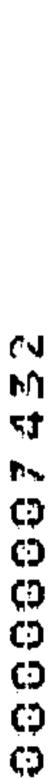
9.	USE ONLY IF YOU CHECKED QUESTION 6(B)  I certify that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:
	<ul> <li>□ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip.</li> <li>- OR -</li> </ul>
	(B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip (see questions 6 and 10).  -OR-
	(C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip.
10.	USE ONLY IF YOU CHECKED QUESTION 9(B) If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:
11.	An itinerary for the trip is attached to this form. I certify that the attached itinerary is a detailed (hourby-hour), complete, and final itinerary for the trip.
12.	Briefly describe the role of each sponsor in organizing and conducting the trip:
	The Pew Charitable Trusts is the sole organizer and sponsor of this trip.
	·
12	Priofly describe the stated mission of each spensor and how the number of the trip relates to that mission.
13.	Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:  See attachment.
	•
14.	Briefly describe each sponsor's prior history of sponsoring congressional trips:
	See attachment.

See attachment.				
· · · · · · · · · · · · · · · · · · ·				
Total Expenses for Ea	ach Participant:			
	•		<u>.                                    </u>	
	Transportation Expenses	Lodging	Meal	Other
	<del>-</del>	Expenses	Expenses	Expenses
	\$60/roundtrip	\$268	\$115.50	
Good Faith				
, estimate				
☐ Actual				
Amounts				
participation or b) the congressional particip		nat is arranged or or	ganized <i>specifically</i> w	•
participation or b) the congressional particip	e trip involves an event th	nat is arranged or or	ganized <i>specifically</i> w	•
participation or b) the congressional participation.  This trip has been arr	e trip involves an event the pation: ranged specifically with re	egard to congression	ganized <i>specifically</i> w	•
participation or b) the congressional particip. This trip has been arr	e trip involves an event the cation:  ranged specifically with retained the location of the event of	egard to congression	nal participation.	vith regard to
participation or b) the congressional particip. This trip has been arr	e trip involves an event the pation: ranged specifically with re	egard to congression	nal participation.	vith regard to
participation or b) the congressional particip. This trip has been arr Reason for selecting to the congression and the congression and the congression are congres	e trip involves an event the cation:  The location of the event of the due to its close proximated due to its close proximated.	egard to congression or trip mity to Washington,	nal participation.	vith regard to
participation or b) the congressional particip. This trip has been arr.  Reason for selecting to Annapolis was select.  Name and location of	the location of the event of the due to its close proximated due to other lodging factors.	egard to congression or trip mity to Washington, acility:	nal participation.  DC and its historical	vith regard to
participation or b) the congressional particip. This trip has been arr.  Reason for selecting to Annapolis was select.  Name and location of	e trip involves an event the cation:  The location of the event of the due to its close proximated due to its close proximated.	egard to congression or trip mity to Washington, acility:	nal participation.  DC and its historical	vith regard to
participation or b) the congressional particip. This trip has been arr.  Reason for selecting to Annapolis was select.  Name and location of Annapolis trip.	the location of the event of the due to its close proximated due to other lodging factors.	egard to congression or trip mity to Washington, acility:	nal participation.  DC and its historical	vith regard to
participation or b) the congressional particip. This trip has been arr.  Reason for selecting to Annapolis was select.  Name and location of The Historic Inns of Annapolis was selecting to the Historic Inns of Annapolis wa	the location of the event of the location of the event of the due to its close proximated due to other lodging factors, Annapolis, 58 State Cir, 58	egard to congression or trip mity to Washington, acility: Annapolis, MD 2140	nal participation.  DC and its historical	significance.

21.	Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:			
	Lodging and meals are at the federal per diem rate for Annapolis, MD.			
22.	Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:			
	Roundtrip transportation to Annapolis will be provided via coach charter buses.			
23.	I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).			
24.	List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:			
	None			
25.	I hereby certify that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you must include a completed signature page for each additional sponsor):			
	Signature of Travel Sponsor: Gwest luttetto			
	Name and Title: Tamera Luzzatto			
	Name of Organization: The Pew Charitable Trusts			
	Address: 901 E Street, NW, Washington, DC, 20004			
	Telephone Number: (202) 552-2000			
	Fax Number: (202) 552-2299			
	E-mail Address: tluzzatto@pewtrusts.org			

# Addendum to Private Sponsor Travel Certification Form, The Pew Charitable Trusts Management and Leadership Conference, September 13-15, 2019 Annapolis, MD

- 13. Through both its information and advocacy work, The Pew Charitable Trusts seeks to inform and advance the development of sound public policy in the United States, as well as to strengthen the institutions that form the foundations of our democracy. A major component of this ongoing strategy has been to help create an environment in which policy makers can productively deliberate important issues of the day. The purpose of the conference is to provide a collaborative environment for the chiefs of staff to learn from experts and discuss issues of importance to an effective legislative process.
- 14. The Pew Charitable Trusts has directly sponsored congressional travel. This will be the seventh Senate chiefs of staff conference hosted by Pew (2007, 2009, 2011, 2014, 2015, 2017). In the past, the Pew Charitable Trusts has also funded other institutions via grants to conduct their educational programs and some of these programs may have included congressional travel.
- 15. The Pew Charitable Trusts is driven by the power of knowledge to solve today's most challenging problems. Pew applies a rigorous, analytical approach to improve public policy, inform the public and invigorate civic life. We partner with a diverse range of donors, public and private organizations and concerned citizens who share our commitment to fact-based solutions and goal-driven investments to improve society. Pew issues dozens of research reports each year to educate the public and policy makers. Pew also sponsors convenings of researchers and experts to examine various issues of public policy and civic life.





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#### Friday, September 13, 2019

2:30pm Depart Dirksen via buses

3:30-4:30pm Maryland State House – Greeting by Senator Ben Cardin

The oldest American state house in continuous legislative use, dating to 1779, and the U.S. Capitol from November 26, 1783, to August 19, 1784. Senator Cardin will welcome chiefs to his state capital and speak about his legislative experiences working

across the aisle.

4:30pm Walk to the Historic Inns of Annapolis.

Luggage retrieval and hotel check-in.

6:00-8:00pm Dinner – Governor's Mansion with visit by Governor and

Mrs. Hogan.

Governor Lawrence J. Hogan, Jr. was sworn in as the 62nd governor of the State of Maryland on January 21, 2015. In 2018, he was re-elected to a second four-year term. Maryland's first lady is Yumi Hogan, a first-generation Korean-American, artist and teacher. Governor Hogan will address his challenges and successes leading a politically-divided state government.

#### Saturday, September 14, 2019

8:30-9:30am Breakfast at your leisure, Calvert House, Historic Inns

Americans' Views of Their Local News.

9:30-11:00am Amy Mitchell, Pew Research Center

With an informed citizenry being essential to an effective democracy, where Americans get their news and their trust in those sources is critical. While much attention is paid to national cable news, a significant number of Americans still rely

on local sources for news and information. Amy Mitchell will discuss Pew's recent survey of 34,897 adults on their views of

local news and the implications for policymakers.

11:00am-11:30am Break

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11:30am-1:00pm Lunch

Captain Thomas Buchanan, 88th Commandant of Midshipmen, United States Naval Academy

Commandant Buchanan will provide chiefs with an overview of the Academy's mission and walk through the four-year cycle of a Midshipman. Because Senators are given the opportunity to make nominations, he will also provide insights into the qualities sought by the Academy in the admissions process.

1:15-2:45pm Professor Joanne Freeman (Yale University)

The Field of Blood: Violence in Congress and the Road to Civil. War.

Professor Freeman recovers the long-lost story of physical violence on the floor of the U.S. Congress. Drawing on an extraordinary range of sources, she shows that the Capitol was rife with conflict in the decades before the Civil War. Professor Freeman will bring historical context and insight to today's partisan and factional challenges in Congress.

2:45-3:00pm Break

3:00-4:30pm David Pogue (The New York Times and CBS Sunday Morning)

A.I., Robotics, and America in 2050.

From 2000 to 2013, David Pogue was the New York Times weekly tech columnist. After a five-year detour to Yahoo Finance, he's now he's back at the Times, writing the "Crowdwise" feature for the "Smarter Living" section. He's a four-time Emmy winner for his stories on "CBS Sunday Morning," and a host of 17 science specials on "NOVA" on PBS. David will talk about the latest advances in artificial intelligence and robotics and the implications for policy makers, the U.S. economy, government, and society as a whole.

6:30-8:00pm **Dinner** 

Keynote Speaker – Derrick Wang, composer, "Scalia/Ginsburg"

(U.S. Naval Academy Officers Club)

Derrick Wang serves on the faculty of the Peabody Conservatory of The Johns Hopkins University, where he designs and teaches interdisciplinary courses on music and law and lectures on the power of the arts to transcend political divides. Derrick will speak about the unexpected and unique bipartisan relationship between Supreme Court Justices Antonin Scalia and Ruth Bader Ginsburg centered around their shared appreciation for opera and lessons which can be learned and applied to the legislative branch. He will also share a few selections from his opera, "Scalia/Ginsburg" which was written using the actual words and opinions of the two justices.

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#### Sunday, September 15, 2019

Breakfast at your leisure, Calvert House, Historic Inns - Bag Call 8:00-9:30am

9:30-11:30am Ira Chaleff

The Courageous Chief of Staff: The Art of Providing Guidance and

Feedback to the Senator

Ira Chaleff, Chairman Emeritus of The Congressional Management Foundation, and author of the award-winning book "The Courageous Follower: Standing Up To and For Our Leaders", will do a reprise of the well-received talk he gave at the 2009 Chiefs of Staff conference. While each Senator is unique, as is the relationship with the Chief, there are certain commonalities that occur in the exercise of power. These require close advisors to use courage and skill to minimize blind spots and maximize success. Ira will examine these with the chiefs and offer

approaches to add to the existing tool kits the chiefs have developed.

Pick up box lunches 12:00pm

Buses depart 12:15pm

Arrive Capitol Hill 1:30pm

Subject: Fwd: Post Travel: Pew Sept. 13-15, 2019

Date: Tuesday, October 15, 2019 at 3:32:58 PM Eastern Daylight Time

From: Britton, Joe (Heinrich)

To: Silva, Marisa (Heinrich)

Can you see what we missed?

Begin forwarded message:

From: "Quaglieri, Katharine (Ethics)" < Katharine\_Quaglieri@ethics.senate.gov>

Date: October 15, 2019 at 3:16:23 PM EDT

To: "Britton, Joe (Heinrich)" <Joe\_Britton@heinrich.senate.gov>

Subject: Post Travel: Pew Sept. 13-15, 2019

Good afternoon Joseph,

The Select Committee on Ethics (the Committee) has received a copy of your post-travel submission from the Office of Public Records (OPR). After reviewing your submission, we have identified the following issue(s) that must be corrected in order to complete the post-travel process and close your file. Please find detailed instructions below on how to correct these issues. Submit the appropriate materials directly to OPR in SH-232 with the Cover Sheet for Amendment of Post-Travel Submission (link provided below).

You did not submit the required final Private Sponsor Travel Certification Form (PSTCF), as well as the accompanying attachment. The trip sponsor should have provided you with this document after the Committee approved it. Please contact the sponsor to acquire the approved PSTCF. Once acquired, print the final PSTCF with any attachments, and submit to OPR. Given that revisions often occur after your initial submission, the Committee recommends contacting the trip sponsor prior to submitting post-travel paperwork to ensure you have the final approved materials.

You did not submit the final trip itinerary as part of your pre-travel packet. The trip sponsor should have provided you with this document. Please contact the sponsor to acquire the approved itinerary. Once acquired, please print the itinerary, and submit to OPR.

#### **General Instructions:**

- 1. Submit ONLY the document(s) you are amending. Do not re-submit your entire post-travel submission.
- Complete, print, and sign the Cover Sheet for Amendment of Post-Travel Submission (link provided below)—this Cover Sheet must be filed with your amendment with OPR.
- 3. Take your corrected documents and Cover Sheet for Amendment of Post-Travel Submission directly to OPR in SH-232.

Link to Cover Sheet: <a href="https://www.ethics.senate.gov/public/index.cfm/files/serve?">https://www.ethics.senate.gov/public/index.cfm/files/serve?</a>
File id=65752B74-7707-42D6-B954-1A57F43650F8.

Thanks.

Best,

Katharine Quaglieri Counsel Select Committee on Ethics United States Senate 220 Hart Building Washington, DC 20510 (202) 224-2981 www.ethics.senate.gov

The guidance provided in this email is based upon the specific facts presented to the Committee and even a slight change in the facts could alter the guidance provided. If this email does not correctly recite the facts, contact the Committee immediately. Furthermore, the guidance provided in this email is intended only for the use of the recipient. The Committee does not authorize any use of this email beyond its intended purpose. This email contains confidential information and access to this email by anyone other than the intended recipient is unauthorized. If you are not the intended recipient, you may not use, copy, distribute or deliver this message, or any of its contents, or take any action in reliance on it.